# On Hermitian varieties in $PG(6, q^2)$

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#### Abstract

In this paper we characterize the non-singular Hermitian variety  $\mathcal{H}(6,q^2)$  of  $\mathrm{PG}(6,q^2)$ ,  $q\neq 2$  among the irreducible hypersurfaces of degree q+1 in  $\mathrm{PG}(6,q^2)$  not containing solids by the number of its points and the existence of a solid S meeting it in  $q^4+q^2+1$  points.

**Keywords:** unital, Hermitian variety, algebraic hypersurface.

## 1 Introduction

The set of all absolute points of a non-degenerate unitary polarity in  $PG(r, q^2)$  determines the Hermitian variety  $\mathcal{H}(r, q^2)$ . This is a non-singular algebraic hypersurface of degree q+1 in  $PG(r,q^2)$  with a number of remarkable properties, both from the geometrical and the combinatorial point of view; see [5, 16]. In particular,  $\mathcal{H}(r,q^2)$  is a 2-character set with respect to the hyperplanes of  $PG(r,q^2)$  and 3-character blocking set with respect to the lines of  $PG(r,q^2)$  for r>2. An interesting and widely investigated problem is to provide combinatorial descriptions of  $\mathcal{H}(r,q^2)$  among all hypersurfaces of the same degree.

First, we observe that a condition on the number of points and the intersection numbers with hyperplanes is not in general sufficient to characterize Hermitian varieties; see [1],[2]. On the other hand, it is enough to consider

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in addition the intersection numbers with codimension 2 subspaces in order to get a complete description; see [7].

In the present paper, we shall investigate a combinatorial characterization of the Hermitian hypersurface  $\mathcal{H}(6,q^2)$  in  $\mathrm{PG}(6,q^2)$  among all hypersurfaces of the same degree having also the same number of  $\mathrm{GF}(q^2)$ -rational points.

More in detail, in [12, 13] it has been proved that if  $\mathcal{X}$  is a hypersurface of degree q+1 in  $\operatorname{PG}(r,q^2)$ ,  $r\geq 3$  odd, with  $|\mathcal{X}|=|\mathcal{H}(r,q^2)|=(q^{r+1}+(-1)^r)(q^r-(-1)^r)/(q^2-1)$  GF $(q^2)$ -rational points, not containing linear subspaces of dimension greater than  $\frac{r-1}{2}$ , then  $\mathcal{X}$  is a non-singular Hermitian variety of  $\operatorname{PG}(r,q^2)$ . This result generalizes the characterization of [8] for the Hermitian curve of  $\operatorname{PG}(2,q^2)$ ,  $q\neq 2$ .

The case where r>4 is even is, in general, currently open. A starting point for a characterization in arbitrary even dimension can be found in [3] where the case of a hypersurface  $\mathcal{X}$  of degree q+1 in  $\mathrm{PG}(4,q^2),\ q>3$  is considered. There, it is shown that when  $\mathcal{X}$  has the same number of points as  $\mathcal{H}(4,q^2)$ , does not contain any subspaces of dimension greater than 1 and meets at least one plane  $\pi$  in  $q^2+1$  GF( $q^2$ )-rational points, then  $\mathcal{X}$  is a Hermitian variety.

In this article we deal with hypersurfaces of degree q+1 in  $PG(6, q^2)$  and we prove that a characterization similar to that of [3] holds also in dimension 6. We conjecture that this can be extended to arbitrary even dimension.

**Theorem 1.1.** Let S be a hypersurface of  $PG(6, q^2)$ , q > 2, defined over  $GF(q^2)$ , not containing solids. If the degree of S is q+1 and the number of its rational points is  $q^{11} + q^9 + q^7 + q^4 + q^2 + 1$ , then every solid of  $PG(6, q^2)$  meets S in at least  $q^4 + q^2 + 1$  rational points. If there is at least a solid  $\Sigma_3$  such that  $|\Sigma_3 \cap S| = q^4 + q^2 + 1$ , then S is a non-singular Hermitian variety of  $PG(6, q^2)$ .

Furthermore, we also extend the result obtained in [3] to the case q = 3.

#### 2 Preliminaries and notation

In this section we collect some useful information and results that will be crucial to obtain our result.

A Hermitian variety in  $PG(r,q^2)$  is the algebraic variety of  $PG(r,q^2)$  whose points  $\langle v \rangle$  satisfy the equation  $\eta(v,v)=0$  where  $\eta$  is a unitary form  $GF(q^2)^{r+1} \times GF(q^2)^{r+1} \to GF(q^2)$ . The radical of the form  $\eta$  is the vector

subspace of  $GF(q^2)^{r+1}$  given by

$$Rad(\eta) := \{ w \in GF(q^2)^{r+1} : \forall v \in GF(q^2)^{r+1}, \eta(v, w) = 0 \}.$$

The form  $\eta$  is non-degenerate if Rad $(\eta) = \{0\}$ . If the form  $\eta$  is non-degenerate, then the corresponding Hermitian variety is denoted by  $\mathcal{H}(r, q^2)$  and it is a non-singular, of degree q+1 and contains

$$(q^{r+1} + (-1)^r)(q^r - (-1)^r)/(q^2 - 1)$$

 $GF(q^2)$ -rational points. When  $\eta$  is degenerate we shall call vertex  $R_t$  of the degenerate Hermitian variety associated to  $\eta$  the projective subspace  $R_t := PG(Rad(\eta))$  of  $PG(r, q^2)$ . A degenerate Hermitian variety can always be described as a cone of vertex  $R_t$  and basis a non-degenerate Hermitian variety  $\mathcal{H}(r-t,q^2)$  disjoint from  $R_t$  where  $t = \dim(Rad(\eta))$  is the vector dimension of the radical of  $\eta$ . In this case we shall write the corresponding variety as  $R_t\mathcal{H}(r-t,q^2)$ . Indeed,

$$R_t \mathcal{H}(r-t, q^2) := \{ P \in \langle P, Q \rangle \colon P \in R_t, Q \in \mathcal{H}(r-t, q^2) \}.$$

Any line of  $\operatorname{PG}(r,q^2)$  meets a Hermitian variety (either degenerate or not) in either 1, q+1 or  $q^2+1$  points (the latter value only for r>2). The maximal dimension of projective subspaces contained in the non-degenerate Hermitian variety  $\mathcal{H}(r,q^2)$  is (r-2)/2, if r is even, or (r-1)/2, if r is odd. These subspaces of maximal dimension are called *generators* of  $\mathcal{H}(r,q^2)$  and the generators of  $\mathcal{H}(r,q^2)$  through a point P of  $\mathcal{H}(r,q^2)$  span a hyperplane  $P^{\perp}$  of  $\operatorname{PG}(r,q^2)$ , the tangent hyperplane at P.

It is well known that this hyperplane meets  $\mathcal{H}(r,q^2)$  in a degenerate Hermitian variety  $P\mathcal{H}(r-2,q^2)$ , that is in a Hermitian cone having as vertex the point P and as base a non-singular Hermitian variety of  $\Theta \cong PG(r-2,q^2)$  contained in  $P^{\perp}$  with  $P \notin \Theta$ .

Every hyperplane of  $PG(r, q^2)$ , which is not tangent, meets  $\mathcal{H}(r, q^2)$  in a non-singular Hermitian variety  $\mathcal{H}(r-1, q^2)$ , and is called a *secant hyperplane* of  $\mathcal{H}(r, q^2)$ . In particular, a tangent hyperplane contains

$$1 + q^2(q^{r-1} + (-1)^r)(q^{r-2} - (-1)^r)/(q^2 - 1)$$

 $\mathrm{GF}(q^2)$ -rational points of  $\mathcal{H}(r,q^2)$ , whereas a secant hyperplane contains

$$(q^r + (-1)^{r-1})(q^{r-1} - (-1)^{r-1})/(q^2 - 1)$$

 $\mathrm{GF}(q^2)$ -rational points of  $\mathcal{H}(r,q^2)$ .

Throughout this paper, the number of  $GF(q^i)$ -points of an algebraic set  $\mathcal{X}$  will be denoted by  $N_{q^i}(\mathcal{X})$ . For simplicity, we shall also use the convention  $|\mathcal{X}| := N_{q^2}(\mathcal{X})$ .

We now recall several results which we will make use of in the course of this paper.

**Lemma 2.1** ([15]). Let d be an integer with  $1 \le d \le q+1$  and  $\mathcal{C}$  be a curve of degree d in PG(2,q) defined over GF(q), which may have GF(q)-linear components. Then the number of its rational points is at most dq+1 and  $N_q(\mathcal{C}) = dq+1$  if and only if  $\mathcal{C}$  is a pencil of d lines of PG(2,q).

**Lemma 2.2** ([10]). Let d be an integer with  $2 \le d \le q + 2$ , and C a curve of degree d in PG(2,q) defined over GF(q) without any GF(q)-linear components. Then  $N_q(C) \le (d-1)q + 1$ , except for a class of plane curves of degree 4 over GF(4) having 14 rational points.

**Lemma 2.3** ([11]). Let S be a surface of degree d in PG(3,q) over GF(q). Then

$$N_q(\mathcal{S}) \le dq^2 + q + 1$$

**Lemma 2.4** ([8]). Suppose  $q \neq 2$ . Let C be a plane curve over  $GF(q^2)$  of degree q + 1 without  $GF(q^2)$ -linear components. If C has  $q^3 + 1$  rational points, then C is a Hermitian curve.

**Lemma 2.5** ([7]). A subset of points of  $PG(r, q^2)$  having the same intersection numbers with respect to hyperplanes and spaces of codimension 2 as non-singular Hermitian varieties, is a non-singular Hermitian variety of  $PG(r, q^2)$ .

From [9, Th 23.5.1,Th 23.5.3] we have the following.

**Lemma 2.6.** If W is a set of  $q^7 + q^4 + q^2 + 1$  points of  $PG(4, q^2)$ , q > 2, such that every line of  $PG(4, q^2)$  meets W in 1, q + 1 or  $q^2 + 1$  points, then W is a Hermitian cone with vertex a line and base a unital.

Finally, we recall that a blocking set with respect to lines of PG(r,q) is a point set which blocks the lines, i.e., intersects each line of PG(r,q) in at least one point.

#### 3 Proof of Theorem 1.1

We first provide an estimate on the number of points of a curve of degree q + 1 in  $PG(2, q^2)$ , where q is any prime power.

**Lemma 3.1.** Let C be a plane curve over  $GF(q^2)$ , without  $GF(q^2)$ -lines as components and of degree q + 1. If the number of  $GF(q^2)$ -rational points of C is  $N < q^3 + 1$ , then

$$N \le \begin{cases} q^3 - (q^2 - 2) & \text{if } q > 3\\ 24 & \text{if } q = 3\\ 8 & \text{if } q = 2. \end{cases}$$
 (3.1)

*Proof.* We distinguish the following three cases:

- (a)  $\mathcal{C}$  has two or more  $GF(q^2)$ -components;
- (b) C is irreducible over  $GF(q^2)$ , but not absolutely irreducible;
- (c)  $\mathcal{C}$  is absolutely irreducible.

Suppose first  $q \neq 2$ .

Case (a) Suppose  $C = C_1 \cup C_2$ . Let  $d_i$  be the degree of  $C_i$ , for each i = 1, 2. Hence  $d_1 + d_2 = q + 1$ . By Lemma 2.2,

$$N \le N_{q^2}(\mathcal{C}_1) + N_{q^2}(\mathcal{C}_2) \le [(q+1)-2]q^2 + 2 = q^3 - (q^2 - 2)$$

Case (b) Let  $\mathcal{C}'$  be an irreducible component of  $\mathcal{C}$  over the algebraic closure of  $GF(q^2)$ . Let  $GF(q^{2t})$  be the minimum defining field of  $\mathcal{C}'$  and  $\sigma$  be the Frobenius morphism of  $GF(q^{2t})$  over  $GF(q^2)$ . Then

$$\mathcal{C} = \mathcal{C}' \cup \mathcal{C}'^{\sigma} \cup \mathcal{C}'^{\sigma^2} \cup \ldots \cup \mathcal{C}'^{\sigma^{t-1}},$$

and the degree of  $\mathcal{C}'$ , say e, satisfies q+1=te with e>1. Hence any  $\mathrm{GF}(q^2)$ -rational point of  $\mathcal{C}$  is contained in  $\cap_{i=0}^{t-1}\mathcal{C}'^{\sigma^i}$ . In particular,  $N\leq e^2\leq (\frac{q+1}{2})^2$  by Bezout's Theorem and  $(\frac{q+1}{2})^2< q^3-(q^2-2)$ .

Case (c) Let  $\mathcal{C}$  be an absolutely irreducible curve over  $GF(q^2)$  of degree q+1. Either  $\mathcal{C}$  has a singular point or not. Since  $N < q^3 + 1$ , the curve  $\mathcal{C}$  is Frobenius classical from [6, Corollary 1.4]. Therefore, we can apply the Stöhr and Voloch's estimate for the number of rational points of a Frobenius classical curve [17, Theorem 1.1] obtaining

$$N \le \frac{1}{2}q(q+1)^2.$$

This bound is lower than the estimation for N in case (a) for q > 4 and it is the same for q = 4. When q = 3 the bound in case (a) is lower than the Stöhr and Voloch's bound.

Finally, we consider the case q=2. Under this assumption,  $\mathcal{C}$  is a cubic

curve and neither case (a) nor case (b) might occur. For a degree 3 curve over  $GF(q^2)$  the Stöhr and Voloch's bound is loose, thus we need to change our argument. If  $\mathcal{C}$  has a singular point, then  $\mathcal{C}$  is a rational curve with a unique singular point. Since the degree of  $\mathcal{C}$  is 3, singular points are either cusps or ordinary double points. Hence  $N \in \{4, 5, 6\}$ . If  $\mathcal{C}$  is nonsingular, then it is an elliptic curve and, by the Hasse-Weil bound, see [18],  $N \in I$  where  $I = \{1, 2, \ldots, 9\}$  and for each number N belonging to I there is an elliptic curve over GF(4) with N points, from [14, Theorem 4.2]. This completes the proof.

From now henceforth, we shall always suppose q > 2 and we denote by S a hypersurface of  $PG(6, q^2)$  satisfying the following hypotheses of Theorem 1.1:

(S1) S is an algebraic hypersurface of degree q+1 defined over  $GF(q^2)$ ;

(S2) 
$$|S| = q^{11} + q^9 + q^7 + q^4 + q^2 + 1;$$

- (S3) S does not contain projective 3-spaces (solids);
- (S4) there exists a solid  $\Sigma_3$  such that  $|S \cap \Sigma_3| = q^4 + q^2 + 1$ .

We are first going to prove that S is a blocking set of lines.

**Lemma 3.2.** An algebraic hypersurface  $\mathcal{T}$  of degree q+1 in  $PG(r, q^2)$ ,  $q \neq 2$ , with  $|\mathcal{T}| = |\mathcal{H}(r, q^2)|$  is a blocking set with respect to lines of  $PG(r, q^2)$ 

Proof. Suppose on the contrary that there is a line  $\ell$  of  $\operatorname{PG}(r,q^2)$  which is disjoint from  $\mathcal{T}$ . Let  $\alpha$  be a plane containing  $\ell$ . The algebraic plane curve  $\mathcal{C}=\alpha\cap\mathcal{T}$  of degree q+1 cannot have  $\operatorname{GF}(q^2)$ -linear components and hence it has at most  $q^3+1$  points because of Lemma 2.2. If  $\mathcal{C}$  had  $q^3+1$  rational points, then from Lemma 2.4,  $\mathcal{C}$  would be a Hermitian curve with an external line, a contradiction since Hermitian curves are blocking sets. Thus  $N_{q^2}(\mathcal{C}) \leq q^3$ . Since q>2, by Lemma 3.1,  $N_{q^2}(\mathcal{C}) < q^3-1$  and hence every plane through r meets  $\mathcal{T}$  in at most  $q^3-1$  rational points. Consequently, by considering all planes through r, we can bound the number of rational points of  $\mathcal{T}$  by  $N_{q^2}(\mathcal{T}) \leq (q^3-1) \frac{q^{2r-4}-1}{q^2-1} = q^{2r-3} + \cdots < |\mathcal{H}(r,q^2)|$ , which is a contradiction. Therefore there are no external lines to  $\mathcal{T}$  and so  $\mathcal{T}$  is a blocking set w.r.t. lines of  $\operatorname{PG}(r,q^2)$ .

**Remark 3.3.** The proof of [3, Lemma 3.1] would work perfectly well here under the hypothesis q > 3. The alternative argument of Lemma 3.2 is simpler and also holds for q = 3.

By the previous Lemma and assumptions (S1) and (S2),  $\mathcal{S}$  is a blocking set for the lines of PG(6,  $q^2$ ) In particular, the intersection of  $\mathcal{S}$  with any 3-dimensional subspace  $\Sigma$  of PG(6,  $q^2$ ) is also a blocking set with respect to lines of  $\Sigma$  and hence it contains at least  $q^4 + q^2 + 1$  GF( $q^2$ )-rational points; see [4].

**Lemma 3.4.** Let  $\Sigma_3$  be the solid of  $PG(6, q^2)$  satisfying condition (S4), that is  $\Sigma_3$  meets S in exactly  $q^4 + q^2 + 1$  points. Then,  $\Pi := S \cap \Sigma_3$  is a plane.

*Proof.*  $S \cap \Sigma_3$  must be a blocking set for the lines of  $PG(3, q^2)$ ; also it has size  $q^4 + q^2 + 1$ . It follows from [4] that  $\Pi := S \cap \Sigma_3$  is a plane.

**Lemma 3.5.** Let  $\Sigma_3$  be the solid of condition (S4). Then, any 4-dimensional projective space  $\Sigma_4$  through  $\Sigma_3$  meets S in a Hermitian cone with vertex a line  $\ell_1$  and basis a Hermitian curve.

*Proof.* Consider all of the  $q^6+q^4+q^2+1$  subspaces  $\Sigma_3'$  of dimension 3 in PG(6,  $q^2$ ) containing  $\Pi$ .

From Lemma 2.3 and condition (S3) we have  $|\Sigma_3' \cap S| \le q^5 + q^4 + q^2 + 1$ . Hence,

$$|\mathcal{S}| = (q^7 + 1)(q^4 + q^2 + 1) < (q^6 + q^4 + q^2)q^5 + q^4 + q^2 + 1 = |\mathcal{S}|$$

Consequently,  $|\Sigma_3' \cap \mathcal{S}| = q^5 + q^4 + q^2 + 1$  for all  $\Sigma_3' \neq \Sigma_3$  such that  $\Pi \subset \Sigma_3'$ . Let  $C_1 := \Sigma_4 \cap \mathcal{S}$ . Counting the number of rational points of  $C_1$  by considering the intersections with the  $q^2 + 1$  subspaces of dimension 3 in  $\Sigma_4$  containing the plane  $\Pi$  we get

$$|C_1| = q^2 \cdot q^5 + q^4 + q^2 + 1 = q^7 + q^4 + q^2 + 1.$$

In particular,  $C_1 \cap \Sigma_3'$  is a maximal surface of degree q+1; so it must split in q+1 distinct planes through a line. So  $C_1$  consists of  $q^3+1$  distinct planes; denote by  $\mathcal{L}$  the family of these planes. Also for each  $\Sigma_3' \neq \Sigma_3$ , there is a line  $\ell'$  such that all the planes of  $\mathcal{L}$  in  $\Sigma_3'$  pass through  $\ell'$ . It is now straightforward to see that any line contained in  $C_1$  must necessarily belong to one of the planes of  $\mathcal{L}$  and no plane not in  $\mathcal{L}$  is contained in  $C_1$ .

In order to get the result it is now enough to show that a line of  $\Sigma_4$  meets  $C_1$  in either 1, q+1 or  $q^2+1$  points. To this purpose, let  $\ell$  be a line of  $\Sigma_4$  and suppose  $\ell \not\subseteq C_1$ . Then, by Bezout's theorem,

$$1 \le |\ell \cap C_1| \le q + 1.$$

Assume  $|\ell \cap C_1| > 1$ . Then we can distinguish two cases:

- 1.  $\ell \cap \Pi \neq \emptyset$ . If  $\ell$  and  $\Pi$  are incident, then we can consider the 3-dimensional subspace  $\Sigma_3' := \langle \ell, \Pi \rangle$ . Then  $\ell$  must meet each plane of  $\mathcal{L}$  in  $\Sigma_3'$  in different points (otherwise  $\ell$  passes through the intersection of these planes and then  $|\ell \cap C_1| = 1$ ). As there are q + 1 planes of  $\mathcal{L}$  in  $\Sigma_3'$ , we have  $|\ell \cap C_1| = q + 1$ .
- 2.  $\ell \cap \Pi = \emptyset$ . Consider the plane  $\Lambda$  generated by a point  $P \in \Pi$  and  $\ell$ . Clearly  $\Lambda \notin \mathcal{L}$ . The curve  $\Lambda \cap S$  has degree q+1 by construction, does not contain lines (for otherwise  $\Lambda \in \mathcal{L}$ ) and has  $q^3 + 1$  GF( $q^2$ )-rational points (by a counting argument). So it is a Hermitian curve. It follows that  $\ell$  is a q+1 secant.

We can now apply Lemma 2.6 to see that  $C_1$  is a Hermitian cone.

**Lemma 3.6.** Let  $\Sigma_3$  be the space of condition (S4) and take  $\Sigma_5$  to be a 5-dimensional projective space with  $\Sigma_3 \subseteq \Sigma_5$ . Then  $S \cap \Sigma_5$  is a Hermitian cone with vertex a point and basis a Hermitian hypersurface  $\mathcal{H}(4, q^2)$ .

Proof. Let

$$\Sigma_4 := \Sigma_4^1, \Sigma_4^2, \dots, \Sigma_4^{q^2+1}$$

be the 4-spaces through  $\Sigma_3$  contained in  $\Sigma_5$ . Put  $C_1 := \Sigma_4^1 \cap \mathcal{S}$  and  $\Pi = \Sigma_3 \cap \mathcal{C}$ ; clearly  $\Pi \subseteq \Sigma_3 \subseteq \Sigma_4^1$  and  $\Pi$  is a plane. Choose a plane  $\Pi' \subseteq \Sigma_4^1$  such that  $m := \Pi' \cap C_1$  is a line m incident with  $\Pi$  but not contained in it. Let  $P_1 := m \cap \Pi$ . It is straightforward to see that in  $\Sigma_4^1$  there is exactly 1 plane through m which is  $(q^4 + q^2 + 1)$ -secant,  $q^4$  planes which are  $(q^3 + q^2 + 1)$ -secant and  $q^2$  planes which are  $(q^2 + 1)$ -secant. Also  $P_1$  belongs to the line  $\ell_1$ , the vertex of  $C_1$ . There are now two cases to consider:

(a) There is a plane  $\Pi'' \neq \Pi'$  not contained in  $\Sigma_4^i$  for all  $i = 1, \ldots, q^2 + 1$  with  $m \subseteq \Pi'' \subseteq S \cap \Sigma_5$ .

We first show that the vertices of the cones  $C_i := \Sigma_4^i \cap \mathcal{S}$  are all collinear. Consider  $m_i := \Pi'' \cap \Sigma_4^i$ . Then  $\{m_i : i = 1, \dots, q^2 + 1\}$  consists of  $q^2 + 1$  lines (including m) all through  $P_1$ . Observe that for all i, the line  $m_i$  meets the vertex  $\ell_i$  of the cone  $C_i$  in  $P_i \in \Pi$ . This forces  $P_1 = P_2 = \dots = P_{q^2+1}$ . So  $P_1 \in \ell_1, \dots, \ell_{q^2+1}$ .

Let now  $\overline{\Sigma}_4$  be a 4-dimensional space in  $\Sigma_5$  with  $P_1 \notin \overline{\Sigma}_4$ ; in particular  $\Pi \not\subseteq \overline{\Sigma}_4$ . Put also  $\overline{\Sigma}_3 := \Sigma_4^1 \cap \overline{\Sigma}_4$ . Clearly,  $r := \overline{\Sigma}_3 \cap \Pi$  is a line and  $P_1 \notin r$ . So  $\overline{\Sigma}_3 \cap \mathcal{S}$  cannot be the union of q+1 planes, since if this were to be the case, these planes would have to pass through the vertex  $\ell_1$ . It follows that  $\overline{\Sigma}_3 \cap \mathcal{S}$  must be a Hermitian cone with vertex a point

and basis a Hermitian curve. Counting the points of  $W := \overline{\Sigma}_4 \cap S$  by considering  $W \cap \Sigma_4^i$  as i varies, we get

$$|\mathcal{W}| = (q^2 + 1)q^5 + q^2 + 1 = (q^2 + 1)(q^5 + 1);$$

in particular, W is a hypersurface of  $\overline{\Sigma}_4$  of degree q+1, not containing any plane and such that there exists a plane of  $\overline{\Sigma}_4$  meeting W in just one line (such planes exist in  $\overline{\Sigma}_3$ ). So by the characterization of  $\mathcal{H}(4, q^2)$  of [3] we have that W is a Hermitian variety  $\mathcal{H}(4, q^2)$ .

We also have that  $|S \cap \Sigma_5| = |P_1\mathcal{H}(4, q^2)|$ . Let now r be any line of  $\mathcal{H}(4, q^2) = S \cap \overline{\Sigma}_4$  and let  $\Theta$  be the plane  $\langle r, P_1 \rangle$ . The plane  $\Theta$  meets  $\Sigma_4^i$  in a line  $q_i \subseteq S$  for each  $i = 1, \ldots, q^2 + 1$  and these lines are concurrent in  $P_1$ . It follows that all the points of  $\Theta$  are in S. This completes the proof for the current case and shows that  $S \cap \Sigma_5$  is a Hermitian cone  $P_1\mathcal{H}(4, q^2)$ .

(b) All planes  $\Pi''$  with  $m \subseteq \Pi'' \subseteq S \cap \Sigma_5$  are contained in  $\Sigma_4^i$  for some  $i=1,\ldots,q^2+1$ . We claim that this case cannot happen. We can suppose without loss of generality  $m_1 \cap \ell_1 = P_1$  and  $P_1 \notin \ell_i$  for all  $i=2,\ldots,q^2+1$ . So there is exactly one plane through  $m_1$  which is  $(q^4+q^2+1)$ -secant, namely the plane  $\langle \ell_i, m_1 \rangle$ . Furthermore, in  $\Sigma_4^1$  there are  $q^4$  planes through  $m_1$  which are  $(q^3+q^2+1)$ -secant and  $q^2$  planes which are  $(q^2+1)$ -secant. We can provide an upper bound to the points of  $S \cap \Sigma_5$  by counting the number of points of  $S \cap \Sigma_5$  on planes in  $\Sigma_5$  through  $m_1$  and observing that a plane through  $m_1$  not in  $\Sigma_5$  and not contained in S has at most  $q^3+q^2+1$  points in common with  $S \cap \Sigma_5$ . So

$$|S \cap \Sigma_5| \le q^6 \cdot q^3 + q^7 + q^4 + q^2 + 1.$$

As  $|S \cap \Sigma_5| = q^9 + q^7 + q^4 + q^2 + 1$ , all planes through  $m_1$  which are neither  $(q^4 + q^2 + 1)$ -secant nor  $(q^2 + 1)$ -secant are  $(q^3 + q^2 + 1)$ -secant. That is to say that all of these planes meet S in a curve of degree q + 1 which must split into q + 1 lines through a point because of Lemma 2.1.

Take now  $P_2 \in \Sigma_4^2 \cap \mathcal{S}$  and consider the plane  $\Xi := \langle m_1, P_2 \rangle$ . The line  $\langle P_1, P_2 \rangle$  is contained in  $\Sigma_4^2$ ; so it must be a (q+1)-secant, as it does not meet the vertex line  $\ell_2$  of  $C_2$  in  $\Sigma_4^2$ . Now,  $\Xi$  meets every of  $\Sigma_4^i$  for  $i=2,\ldots,q^2+1$  in a line through  $P_1$  which is either a 1-secant or a q+1-secant; so

$$|S \cap \Xi| \le q^2(q) + q^2 + 1 = q^3 + q^2 + 1.$$

It follows  $|S \cap \Xi| = q^3 + q^2 + 1$  and  $S \cap \Xi$  is a set of q + 1 lines all through the point  $P_1$ . This contradicts our previous construction.

**Lemma 3.7.** Let  $\Sigma_3$  be the space of condition (S4). Then, every hyperplane of  $PG(6, q^2)$  meets S either in a non-singular Hermitian variety  $\mathcal{H}(5, q^2)$  or in a cone over a Hermitian hypersurface  $\mathcal{H}(4, q^2)$ .

*Proof.* Let us denote by  $\Lambda$  a hyperplane of PG(6,  $q^2$ ). If  $\Lambda$  contains  $\Sigma_3$  then, from Lemma 3.6 it follows that  $\Lambda \cap \mathcal{S}$  is a Hermitian cone  $P\mathcal{H}(4, q^2)$ .

Now assume that  $\Lambda$  does not contain  $\Sigma_3$ . Denote by  $S_5^j$ , with  $j=1,\ldots,q^2+1$  the  $q^2+1$  hyperplanes through  $\Sigma_4^1$ , where as before,  $\Sigma_4^1$  is a 4-space containing  $\Sigma_3$ . By Lemma 3.6 again we get that  $S_5^j \cap \mathcal{S} = P^j \mathcal{H}(4,q^2)$ . We count the number of rational points of  $\Lambda \cap \mathcal{S}$  by studying the intersections of  $S_5^j \cap \mathcal{S}$  with  $\Lambda$  for all  $j \in \{1,\ldots,q^2+1\}$ . Setting  $\mathcal{W}_j := S_5^j \cap \mathcal{S} \cap \Lambda$ ,  $\Omega := \Sigma_4^1 \cap \mathcal{S} \cap \Lambda$  then

$$|\mathcal{S} \cap \Lambda| = \sum_j |\mathcal{W}_j \setminus \Omega| + |\Omega|.$$

If  $\Pi$  is a plane of  $\Lambda$  then  $\Omega$  consists of q+1 collinear planes. Otherwise let m be the line in which  $\Lambda$  meets the plane  $\Pi$ . Then  $\Omega$  is either a Hermitian cone  $P_0\mathcal{H}(2,q^2)$ , or q+1 collinear planes according as the vertex  $P^j \in \Pi$  is an external point with respect to m or not.

In the former case  $W_j$  is a non singular Hermitian variety  $\mathcal{H}(4, q^2)$  and thus  $|\mathcal{S} \cap \Lambda| = (q^2 + 1)(q^7) + q^5 + q^2 + 1 = q^9 + q^7 + q^5 + q^2 + 1$ .

In the case in which  $\Omega$  consists of q+1 collinear planes then  $W_j$  is either a  $P_0\mathcal{H}(3,q^2)$  or a Hermitian cone with vertex a line and basis a Hermitian curve  $\mathcal{H}(2,q^2)$ .

If there is at least one index j such that  $W_j = \ell_1 \mathcal{H}(2, q^2)$  then, there must be a 3-dimensional space  $\Sigma_3'$  of  $S_5^j \cap \Lambda$  meeting S in a generator. Hence, from Lemma 3.6 we get that  $S \cap \Lambda$  is a Hermitian cone  $P'\mathcal{H}(4, q^2)$ .

Assume that for all  $j \in \{1, ..., q^2 + 1\}$ ,  $W_j$  is a  $P_0\mathcal{H}(3, q^2)$ . In this case

$$|\mathcal{S} \cap \Lambda| = (q^2 + 1)q^7 + (q + 1)q^4 + q^2 + 1 = q^9 + q^7 + q^5 + q^4 + q^2 + 1 = |\mathcal{H}(5, q^2)|.$$

We are going to prove that the intersection numbers of S with hyperplanes are only two that is  $q^9 + q^7 + q^5 + q^4 + q^2 + 1$  or  $q^9 + q^7 + q^4 + q^2 + 1$ .

Denote by  $x_i$  the number of hyperplanes meeting S in i rational points with  $i \in \{q^9 + q^7 + q^4 + q^2 + 1, q^9 + q^7 + q^5 + q^2 + 1, q^9 + q^7 + q^5 + q^4 + q^2 + 1\}.$ 

Double counting arguments give the following equations for the integers  $x_i$ :

$$\begin{cases}
\sum_{i} x_{i} = q^{12} + q^{10} + q^{8} + q^{6} + q^{4} + q^{2} + 1 \\
\sum_{i} i x_{i} = |\mathcal{S}|(q^{10} + q^{8} + q^{6} + q^{4} + q^{2} + 1) \\
\sum_{i=1} i(i-1)x_{i} = |\mathcal{S}|(|\mathcal{S}| - 1)(q^{8} + q^{6} + q^{4} + q^{2} + 1).
\end{cases} (3.2)$$

Solving (3.2) we obtain  $x_{q^9+q^7+q^5+q^2+1}=0$ . In the case in which  $|S \cap I|=|\mathcal{H}(5,q^2)|$ , since  $S \cap \Lambda$  is an algebraic hypersurface of degree q+1 not containing 3-spaces, from [18, Theorem 4.1] we get that  $S \cap \Lambda$  is a Hermitian variety  $\mathcal{H}(5,q^2)$  and this completes the proof.

Proof of Theorem 1.1. The first part of Theorem 1.1 follows from Lemma 3.4. From Lemma 3.7, S has the same intersection numbers with respect to hyperplanes and 4-spaces as a non-singular Hermitian variety of  $PG(6, q^2)$ , hence Lemma 2.5 applies and S turns out to be a  $\mathcal{H}(6, q^2)$ .

**Remark 3.8.** The characterization of the non-singular Hermitian variety  $\mathcal{H}(4, q^2)$  provides in [3] is based on the property that a given hypersurface is a blocking set with respect to lines of  $PG(4, q^2)$ , see [3, Lemma 3.1]. This lemma holds when q > 3. Since Lemma 3.2 extends the same property to the case q = 3 it follows that the result stated in [3] is also valid in  $PG(4, 3^2)$ .

## 4 Conjecture

We propose a conjecture for the general 2n-dimensional case.

Let S be a hypersurface of  $\operatorname{PG}(2d,q^2)$ , q>2, defined over  $\operatorname{GF}(q^2)$ , not containing d-dimensional projective subspaces. If the degree of S is q+1 and the number of its rational points is  $|\mathcal{H}(2d,q^2)|$ , then every d-dimensional subspace of  $\operatorname{PG}(2d,q^2)$  meets S in at least  $\theta_{q^2}(d-1):=(q^{2d-2}-1)/(q^2-1)$  rational points. If there is at least a d-dimensional subspace  $\Sigma_d$  such that  $|\Sigma_d \cap S| = |\operatorname{PG}(d-1,q^2)|$ , then S is a non-singular Hermitian variety of  $\operatorname{PG}(2d,q^2)$ .

Lemma 3.1 and Lemma 3.2 can be a starting point for the proof of this conjecture since from them we get that S is a blocking set with respect to lines of  $PG(2d, q^2)$ .

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